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#### **Accessible Version**

June 22, 2021

**Congressional Requesters** 

# Health Care Funding: Planned Parenthood Federation of America Affiliates' Expenditures of Federal Funds, 2016 through 2018

In order to achieve their programmatic goals, federal agencies provide funding to various organizations that, in turn, use those funds to implement programs and activities aligned with those goals. For example, the Department of Health and Human Services (HHS) may award funding through grants or cooperative agreements to organizations such as Planned Parenthood Federation of America (PPFA) affiliates, who expend those funds to provide health-related services to patients.<sup>1</sup>

You asked us to provide information on federal funding to various organizations, including those that offer voluntary family planning and activities related to the treatment and prevention of HIV/AIDS.<sup>2</sup> The organizations included various domestic organizations such as PPFA affiliates, which operate more than 600 centers across the country, providing sexual and reproductive health-related services, programs related to sexuality education, and advocacy. We issued a report in December 2020 that detailed this funding.<sup>3</sup>

This report provides supplemental information to our December 2020 report. Specifically, it describes certain PPFA affiliates' reported expenditures of federal funds from grants and cooperative agreements during fiscal years 2016 through 2018. This information is provided in Enclosure I.

To describe certain PPFA affiliates' reported expenditures of federal funds through grants and cooperative agreements, we reviewed expenditure data contained in audit reports submitted by

<sup>&</sup>lt;sup>1</sup>In general, federal agencies use grants and cooperative agreements to transfer a thing of value to the recipient entity to carry out a public purpose as authorized by federal law. Grants are used when substantial involvement by the federal agency is not expected in carrying out the activity, and cooperative agreements are used when substantial involvement by the federal agency is expected. Federal funds for domestic activities are generally not available to pay for abortions, except where the pregnancy is the result of rape or incest or the life of the pregnant woman would be endangered unless an abortion is performed. See, e.g., Consolidated Appropriations Act, 2021, Pub. L. No. 116-260, div. H, tit. V, §§ 506, 507, 134 Stat. 1182, 1622 (2020).

When referring to PPFA affiliates throughout this report, we are referring to local, independent PPFA member organizations.

<sup>&</sup>lt;sup>2</sup>HIV/AIDS stands for human immunodeficiency virus and acquired immune deficiency syndrome.

<sup>&</sup>lt;sup>3</sup>See GAO, Health Care Funding: Federal Obligations to and Funds Received by Certain Organizations Involved in Health-Related Services, 2016 through 2018, GAO-21-188R (Washington, D.C.: Dec. 21, 2020).

PPFA affiliates to the Federal Audit Clearinghouse.<sup>4</sup> We reviewed audit reports for 39 PPFA affiliates that were required to submit a single audit report within at least one of the years covered by our review because they met the required annual audit threshold established by the Office of Management and Budget (OMB).<sup>5</sup> Based on our analysis of the data provided, and review of source documentation, we determined the data were sufficiently reliable for the purposes of our reporting objective.

We conducted this performance audit from January 2021 to June 2021 in accordance with generally accepted government auditing standards. Those standards require that we plan and perform the audit to obtain sufficient, appropriate evidence to provide a reasonable basis for our findings and conclusions based on our audit objectives. We believe that the evidence obtained provides a reasonable basis for our findings and conclusions based on our audit objectives.

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As agreed with your offices, unless you publicly announce the contents of this report earlier, we plan no further distribution until 30 days from the report date. We are sending copies of this report to appropriate congressional committees, the Secretary of Health and Human Services, and other interested parties. In addition, the report will be available at no charge on the GAO website at <a href="http://www.gao.gov">http://www.gao.gov</a>.

If you or your staff members have any questions about this report, please contact me at (202) 512-7114 or rosenbergm@gao.gov. Contact points for our Offices of Congressional Relations and Public Affairs may be found on the last page of this report. Other key contributors to this report included Gerardine Brennan (Assistant Director), David Lichtenfeld (Analyst-in-Charge), George Bogart, Xiaoyi Huang, Ashley Nurhussein, and Laurie Pachter.

Sincerely yours,

Michelle B. Rosenberg Acting Director, Health Care

Enclosure—1

<sup>&</sup>lt;sup>4</sup>In this report, we use the term "federal funding" to mean funding awarded by federal agencies through grants or cooperative agreements. The term "expend" or "expenditure" refers to the actual spending of money by entities that receive federal funds.

<sup>&</sup>lt;sup>5</sup>Organizations based in the United States with expenditures of federal funding of \$750,000 or more within the organization's fiscal year are required to undergo an audit in accordance with the Single Audit Act, as amended, and OMB implementing guidance, and to provide the results of this audit to OMB. See 31 U.S.C. §§ 7501-7506; 2 C.F.R., pt. 200, subpt. F. (2019) (as added by 78 Fed. Reg. 78590, 78608 (Dec. 26, 2013)). The Federal Audit Clearinghouse is the repository for single audits, as designated by OMB.

## List of Requesters

The Honorable Mitch McConnell Republican Leader United States Senate

The Honorable Kevin McCarthy Minority Leader House of Representatives

The Honorable John Barrasso United States Senate

The Honorable Marsha Blackburn United States Senate

The Honorable Roy Blunt United States Senate

The Honorable Mike Braun United States Senate

The Honorable Bill Cassidy, M.D. United States Senate

The Honorable Kevin Cramer United States Senate

The Honorable Mike Crapo United States Senate

The Honorable Steve Daines United States Senate

The Honorable Joni K. Ernst United States Senate

The Honorable Deb Fischer United States Senate

The Honorable Josh Hawley United States Senate

The Honorable Cindy Hyde-Smith United States Senate

The Honorable James M. Inhofe United States Senate

The Honorable James Lankford United States Senate

The Honorable Mike Lee United States Senate

The Honorable Roger Marshall, M.D. United States Senate

The Honorable Jerry Moran United States Senate

The Honorable Rand Paul United States Senate

The Honorable James E. Risch United States Senate

The Honorable Mitt Romney United States Senate

The Honorable M. Michael Rounds United States Senate

The Honorable Marco Rubio United States Senate

The Honorable Ben Sasse United States Senate

The Honorable Tim Scott United States Senate

The Honorable John Thune United States Senate

The Honorable Thom Tillis United States Senate

The Honorable Todd Young United States Senate

The Honorable Robert B. Aderholt House of Representatives

The Honorable Rick W. Allen House of Representatives

The Honorable Jodey Arrington House of Representatives

The Honorable Brian Babin, D.D.S. House of Representatives

The Honorable Troy Balderson House of Representatives

The Honorable Jim Banks House of Representatives

The Honorable Andy Biggs House of Representatives

The Honorable Kevin Brady House of Representatives

The Honorable Mo Brooks House of Representatives

The Honorable Ken Buck House of Representatives

The Honorable Larry Bucshon, M.D. House of Representatives

The Honorable Ted Budd House of Representatives

The Honorable Tim Burchett House of Representatives

The Honorable Michael C. Burgess, M.D. House of Representatives

The Honorable Earl L. 'Buddy' Carter House of Representatives

The Honorable John Carter House of Representatives

The Honorable Steve Chabot House of Representatives

The Honorable Liz Cheney House of Representatives

The Honorable Tom Cole House of Representatives

The Honorable Rick Crawford House of Representatives

The Honorable Rodney Davis House of Representatives

The Honorable Jeff Duncan House of Representatives

The Honorable Chuck Fleischmann House of Representatives

The Honorable Virginia Foxx House of Representatives

The Honorable Matt Gaetz House of Representatives

The Honorable Louie Gohmert House of Representatives

The Honorable Paul A. Gosar, D.D.S. House of Representatives

The Honorable Mark Green House of Representatives

The Honorable H. Morgan Griffith House of Representatives

The Honorable Glenn Grothman House of Representatives

The Honorable Michael Guest House of Representatives

The Honorable Brett Guthrie House of Representatives

The Honorable Jim Hagedorn House of Representatives

The Honorable Vicky Hartzler House of Representatives

The Honorable Kevin Hern House of Representatives

The Honorable Jody Hice House of Representatives

The Honorable Bill Huizenga House of Representatives

The Honorable Bill Johnson House of Representatives

The Honorable Mike Johnson House of Representatives

The Honorable Jim Jordan House of Representatives

The Honorable John Joyce, M.D. House of Representatives

The Honorable Mike Kelly House of Representatives

The Honorable Trent Kelly House of Representatives

The Honorable Adam Kinzinger House of Representatives

The Honorable Darin LaHood House of Representatives

The Honorable Doug LaMalfa House of Representatives

The Honorable Doug Lamborn House of Representatives

The Honorable Robert E. Latta House of Representatives

The Honorable Billy Long House of Representatives

The Honorable Blaine Luetkemeyer House of Representatives

The Honorable Thomas Massie House of Representatives

The Honorable Carol D. Miller House of Representatives

The Honorable John Moolenaar House of Representatives

The Honorable Alex X. Mooney House of Representatives

The Honorable Ralph Norman House of Representatives

The Honorable Gary Palmer House of Representatives

The Honorable Scott Perry House of Representatives

The Honorable David Rouzer House of Representatives

The Honorable Chip Roy House of Representatives

The Honorable John Rutherford House of Representatives

The Honorable Steve Scalise House of Representatives

The Honorable Christopher H. Smith House of Representatives

The Honorable Jason Smith House of Representatives

The Honorable W. Gregory Steube House of Representatives

The Honorable William R. Timmons, IV House of Representatives

The Honorable Ann Wagner House of Representatives

The Honorable Tim Walberg House of Representatives

The Honorable Jackie Walorski House of Representatives

The Honorable Randy K. Weber House of Representatives

The Honorable Joe Wilson House of Representatives

The Honorable Rob Wittman House of Representatives

# Enclosure I: Planned Parenthood Federation of America Affiliates' Expenditure of Federal Funds

Our analysis of single audit reports submitted by 39 Planned Parenthood Federation of America (PPFA) affiliates found the affiliates reported expending approximately \$271 million in federal funding from fiscal years 2016 through 2018. This included funds received directly from federal agencies and funds passed through to the affiliates by nonfederal organizations. (See table 1.)

Table 1: Planned Parenthood Federation of America (PPFA) Affiliates' Reported Expenditures of Federal Funding, Fiscal Years 2016-2018

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Organization	2016	2017	2018	Total
Planned Parenthood Mid and South Michigan	9.41	11.25	5.07	25.74
Planned Parenthood of the Great Northwest and the Hawaiian Islands	6.81	7.75	6.80	21.35
Planned Parenthood of Central and Greater Northern New Jersey	3.46ª	5.42	5.88	14.77
Planned Parenthood of Greater Ohio	4.54	4.95	4.97	14.46
Planned Parenthood of Orange & San Bernardino Counties	3.95	4.31	4.55	12.81
Planned Parenthood of Illinois	4.00	3.88	3.68	11.55
Planned Parenthood of Southern New England	3.90	3.83	3.73	11.45
Planned Parenthood of Wisconsin	3.94	2.65	3.74	10.34
Planned Parenthood of the Heartland	3.27	3.58	2.69	9.54
Planned Parenthood of Minnesota, North Dakota, South Dakota	3.11	3.06	3.16	9.33
Planned Parenthood of New York City	2.71	3.22	3.31	9.25
Planned Parenthood Mar Monte	2.83	3.15	3.24	9.23
Planned Parenthood South Atlantic	2.78	2.97	3.07	8.82
Planned Parenthood of Central and Western New York	2.05	2.86	2.99	7.90
Planned Parenthood of Northern New England	2.28	2.22	2.49	6.99
Planned Parenthood Arizona	1.99	2.13	2.20	6.31
Planned Parenthood Association of Utah	2.15	1.99	2.06	6.20
Planned Parenthood of Greater Washington and North Idaho	1.81	2.28	1.96	6.04
Planned Parenthood of Greater Texas	2.13	2.53	1.37	6.03
Planned Parenthood Northern California	1.84	1.93	2.04	5.81
Planned Parenthood of Metropolitan New Jersey	1.81	1.87	2.03	5.71
Planned Parenthood Southeastern Pennsylvania	1.79	1.62	2.13	5.53

Organization	2016	2017	2018	Total
Planned Parenthood of Indiana and Kentucky	1.68	1.49	1.57	4.73
Planned Parenthood Hudson Peconic	1.36	1.38	1.96	4.70
Planned Parenthood Mohawk Hudson	1.26	1.29	1.64	4.19
Planned Parenthood Keystone	1.00	1.17	1.26	3.44
Planned Parenthood of the Pacific Southwest	1.00	1.15	1.11	3.27
Planned Parenthood Los Angeles	1.13	1.08	1.05	3.26
Planned Parenthood of Montana	1.09	1.07	1.01	3.17
Planned Parenthood Columbia Willamette	1.09	0.77	0.81	2.68
Planned Parenthood League of Massachusetts	0.91	0.81	0.94	2.66
Planned Parenthood of the St. Louis Region and Southwest Missouri	0.85	0.88	0.79	2.52
Planned Parenthood of South, East and North Florida	0.77	0.76	0.81	2.34
Planned Parenthood of the Mid- Hudson Valley	_	1.07	1.04	2.11
Planned Parenthood South Texas	0.87	0.88	_	1.75
Planned Parenthood of Nassau County	1.52	_	_	1.52
Planned Parenthood of Southern New Jersey	1.25ª	_	_	1.25
Planned Parenthood of the North Country New York	_	_	1.05	1.05
Planned Parenthood of Maryland	_	_	1.02	1.02
Total	88.33	93.26	89.25	270.84

Legend: — = No single audit report was submitted to the Federal Audit Clearinghouse.

Source: GAO analysis of Federal Audit Clearinghouse single audit reports. | GAO-21-608R

Notes: This table reflects a review of expenditures of funding reported in single audit reports to the Federal Audit Clearinghouse for the 39 PPFA affiliates that met the reporting threshold of \$750,000 or more in expenditures of federal funding in at least one of the fiscal years reviewed. It includes direct and pass-through funding received from any federal agency. Direct funding refers to federal funds made available through an award received directly from a federal agency. Pass-through funding refers to federal funds made available through a sub-award from a nonfederal entity. With the exception of two single audit reports covering a 9-month period, expenditure data are based on a 12-month fiscal year, which varies by affiliate. Amounts in this table may not sum to totals due to rounding.

Of the \$271 million, the majority—about \$256 million (or 94 percent)—were PPFA affiliates' expenditures of Department of Health and Human Services (HHS) funds. Most of these expenditures reported by affiliates were from funding they received through grants awarded by the Office of Population Affairs. This included funds received directly from HHS, and funds passed through to the affiliates by nonfederal organizations. (See table 2.)

<sup>&</sup>lt;sup>a</sup>Single audit reports submitted by this affiliate cover a 9-month period.

Table 2: Planned Parenthood Federation of America (PPFA) Affiliates' Reported Expenditures of Federal Funding by Program for Top 10 Department of Health and Human Services (HHS) Programs, Fiscal Years 2016-2018

<b>D</b>	2016 (dollars in	2017 (dollars in	2018 (dollars in	Total (dollars in	Percent of total HHS	Percent of total federal
Program title <sup>a</sup>	millions)	millions)	millions)	millions)	funds	funds
Family Planning Services (CFDA #: 93.217), Office of Population Affairs	61.06	61.66	59.43	182.16	71.20	67.26
Teenage Pregnancy Prevention Program (CFDA #: 93.297), Immediate Office of the Secretary of Health and Human Services	6.53	8.22	6.81	21.56	8.43	7.96
Maternal and Child Health Services Block Grant to the States (CFDA #: 93.994), Health Resources and Services Administration	4.88	5.65	5.15	15.67	6.13	5.79
Affordable Care Act Personal Responsibility Education Program (CFDA #: 93.092), Administration for Children and Families	3.16	3.51	3.45	10.11	3.95	3.73
Social Services Block Grant (CFDA #: 93.667), Administration for Children and Families	2.51	3.03	3.06	8.59	3.36	3.17
Medical Assistance Program (CFDA #: 93.778), Centers for Medicare & Medicaid Services	1.43	1.43	1.38	4.24	1.66	1.57
Temporary Assistance for Needy Families (CFDA #: 93.558), Administration for Children and Families	0.75	1.09	1.04	2.87	1.12	1.06
HIV Emergency Relief Project Grants (CFDA #: 93.914), Health Resources and Services Administration <sup>b</sup>	0.72	0.92	0.96	2.59	1.01	0.96
Cooperative Agreement to Support Navigators in Federally Facilitated Exchanges (CFDA #: 93.332), Centers for Medicare & Medicaid Services	0.73	1.05	0.72	2.50	0.98	0.92
HIV Prevention Activities Health Department Based (CFDA #: 93.940), Centers for Disease Control and Prevention <sup>b</sup>	0.31	0.46	0.71	1.48	0.58	0.55

Program title <sup>a</sup>	2016 (dollars in millions)	2017 (dollars in millions)	2018 (dollars in millions)	Total (dollars in millions)	Percent of total HHS funds	Percent of total federal funds
Subtotal of top 10 HHS CFDAs	82.07	87.01	82.71	251.79	98.42	92.97
All other HHS CFDAs	1.92	1.14	1.00	4.05	1.58	1.50
Total	83.98	88.15	83.71	255.84	100.00	94.46

Source: GAO analysis of Federal Audit Clearinghouse single audit reports. | GAO-21-608R

Notes: This table reflects a review of expenditures of funding from HHS reported in single audit reports to the Federal Audit Clearinghouse for the 39 PPFA affiliates that met the reporting threshold of \$750,000 or more in expenditures of federal funding in at least one of the fiscal years reviewed. It includes direct and pass-through funding received from HHS. Direct funding refers to federal funds made available through an award received directly from a federal agency. Pass-through funding refers to federal funds made available through a sub-award from a nonfederal entity. With the exception of two 2016 single audit reports (Planned Parenthood of Central and Greater Northern New Jersey and Planned Parenthood of Southern New Jersey) covering a 9-month period, expenditure data are based on a 12-month fiscal year, which varies by affiliate. Amounts in this table may not sum to totals due to rounding.

The remaining \$15 million (or 6 percent) of the \$271 million in expenditures were of funds from the departments of Agriculture, Justice, Housing and Urban Development, Education, and State, and the Corporation for National and Community Service. This included funds received directly from the federal agency and funds passed through to the affiliates by nonfederal organizations. (See table 3.)

Table 3: Planned Parenthood Federation of America (PPFA) Affiliates' Reported Expenditures of Federal Funding by Program from Agencies Other Than the Department of Health and Human Services (HHS), Fiscal Years 2016-2018

### Federal agency

	Program title <sup>a</sup>	2016 (dollars in millions)	2017 (dollars in millions)	2018 (dollars in millions)	Total (dollars in millions)	Percent of total non- HHS funds	Percent of total federal funds
Department of Agriculture (USDA)	WIC Special Supplemental Nutrition Program for Women, Infants, and Children (CFDA #: 10.557)	3.04	3.27	3.31	9.63	64.19	3.55
	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (CFDA #: 10.561)	0.04	0.03	0.05	0.12	0.83	0.05
	Total USDA	3.09	3.30	3.36	9.75	65.02	3.60
Department of Justice (DOJ)	Crime Victim Assistance (CFDA #: 16.575)	0.54	0.54	0.89	1.96	13.10	0.73
	Violence Against Women Formula Grants (CFDA #: 16.588)	0.13	0.13	0.24	0.50	3.34	0.19
	Sexual Assault Services Formula Program (CFDA #: 16.017)	0.03	0.04	0.02	0.09	0.58	0.03
	Education, Training, and Enhanced Services to End Violence Against the Abuse of Women with Disabilities (CFDA #: 16.529)	0.03	0.03	0.02	0.08	0.55	0.03

<sup>&</sup>lt;sup>a</sup>This column lists the federal programs as reported in the 2018 Catalog of Federal Domestic Assistance (CFDA), their corresponding CFDA number, and the federal office or agency administering the program.

<sup>&</sup>lt;sup>b</sup>HIV stands for human immunodeficiency virus.

	Program title <sup>a</sup>	2016 (dollars in millions)	2017 (dollars in millions)	2018 (dollars in millions)	Total (dollars in millions)	Percent of total non- HHS funds	Percent of total federal funds
	Total DOJ	0.73	0.73	1.17	2.63	17.57	0.97
Department of Housing and Urban	Housing Opportunities for Persons with AIDS (CFDA #: 14.241) <sup>b</sup>	0.33	0.31	0.37	1.02	6.78	0.38
Development (HUD)	Community Development Block Grants/Entitlement Grants (CFDA #: 14.218)	0.06	0.39	0.34	0.79	5.29	0.29
	Total HUD	0.40	0.70	0.71	1.81	12.07	0.67
Department of Education (ED)	Education Innovation and Research (Formerly Investing in Innovation (i3) Fund) (CFDA #: 84.411)	_	0.25	0.19	0.44	2.91	0.16
	Total ED	_	0.25	0.19	0.44	2.91	0.16
Department of State (DOS)	The U.S. President's Emergency Plan for AIDS Relief Programs (CFDA #: 19.029) <sup>b</sup>	_	0.12	0.11	0.24	1.58	0.09
	Total DOS	_	0.12	0.11	0.24	1.58	0.09
Corporation for National and Community Service	Social Innovation Fund (CFDA #: 94.019)	0.13	_	_	0.13	0.85	0.05
		0.13	_	_	0.13	0.85	0.05
(CNCS)	Total CNCS						
All	Total USDA, DOJ, HUD, ED, DOS, and CNCS	4.34	5.11	5.55	15.00	100.00	5.54

Legend: — = No expenditure of federal funds were reported for the federal program.

Source: GAO analysis of Federal Audit Clearinghouse single audit reports. | GAO-21-608R

Notes: This table reflects a review of expenditures of funding from federal agencies other than HHS reported in single audit reports to the Federal Audit Clearinghouse for the 39 PPFA affiliates that met the reporting threshold of \$750,000 or more in expenditures of federal funding in at least one of the fiscal years reviewed. It includes direct and pass-through funding received from the federal agency. Direct funding refers to federal funds made available through an award received directly from a federal agency. Pass-through funding refers to federal funds made available through a sub-award from a nonfederal entity. Expenditure data are based on a 12-month fiscal year, which varies by affiliate. Amounts in this table may not sum to totals due to rounding.

<sup>a</sup>This column lists the federal programs as reported in the 2018 Catalog of Federal Domestic Assistance (CFDA), their corresponding CFDA number, and the federal agency administering the program.

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<sup>&</sup>lt;sup>b</sup>AIDS stands for acquired immunodeficiency syndrome.